

道口證券有限公司資料	
中央結算參與者名稱	道口證券有限公司
中央結算參與者編號	B01466
交收部及電話號碼	+852 22087832

投資者/股票交收指示表格
Investor/Securities Settlement Instruction (I.S.I. / S.I.) Form

 客戶號碼:
 A/C No.: _____

 客戶名稱:
 Client Name: _____

 本人/吾等特此通知貴公司:
 I/We hereby instruct your Company to:

 將下列股票存入本人/吾等之證券戶口

RECEIVE the following securities for my/our securities account

 由本人/吾等之證券戶口提取下列股票

DELIVER the following securities for my/our securities account

並透過中央結算及交收系統, 按以下內容執行交收指示:

Via Central Clearing and Settlement System (CCASS) to effect the settlement in accordance with the following details:

 對手經紀名稱:
 Name of Counter Party: _____

 交收日期:
 Settlement Date: _____

 投資者中央結算/對手經紀代號:
 Investor CCASS/Counter-party ID. No.: _____

 联系人:
 Contact Person: _____

 對手電郵:
 Email: _____

 電話號碼:
 Tel No.: _____

公司專用 For Office Use Only	
交收指示目的: Purpose of SI: _____ C / L / P / M	(C = Broker-Custodian transaction 經紀與託管商之間的交易, L = Stock borrowing/Lending 借股/貸股, P = Stock pledging 按股, M = Portfolio movement 證券組合轉移)
交付指示: DI Required: _____	(Y = YES 需要, N = NO 不需要)

付款指示 Payment Instruction:
 無須付款 Free of Payment (FOP)

 付予港幣 Against payment (DVP)

股票號碼 Stock Code	股票名稱 Stock Name	股票數目 No. of Shares	轉倉款項(港幣) SI/ISI Payment (HKD)	職員專用 For Official Use Only

本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而出現任何變動。本人/吾等亦承諾就本交收指示所可能令貴公司墊受或承擔的任何費用或開支, 當中包括但不限於印花稅, 向貴公司作出彌償及使之獲得彌償。

I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expense, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connected with this settlement instruction.

 根據客戶電話指示

According to customer's phone instruction

 錄音電話號碼:
 Record Phone No.: _____

 日期及時間:
 Date & time: _____

 客戶簽署 (請用留存本公司之印鑒簽署)
 Client's Signature (s) (Please use signature(s)/chop(s) filed with Company)

 經紀簽署
 Requested by AE (_____)

公司專用 For Office Use Only		
AE/Branch Code:	Request Received on:	Signature Verified by:
CCASS Input by:	CCASS Authorized by:	BOS Input by: